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PATENT 17243-00035

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appli	icant: Ran	dall F. Hornick et al.	: Group Art Unit: 2171	
Seria	l No. 09/7	71,358	: : Examiner:	•
Filed	: January 2	7, 2001	: :	
For:	IDEN	ODS AND SYSTEMS FOR TIFYING PROSPECTIVE OMERS AND MANAGING DEALS	: : : :	,
			CERTIFICATE OF MAIL	ING
			I certify that this correspondence is being dep United States Postal Service as first class ma addressed to: Commissioner for Patents, Wa 20231, on October 3, 2002.  Patrick W. Rasche, Reg. No.: 37,916	il in an envelope
	SU	PPLEMENTAL INFORMATION DISCLO	OSURE STATEMENT TRANSMITTA	AL
1.	[ ]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st		ECEIVED .
2.	[ 🗸 ]	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance	Technology Technology	ology Center 2100
3.	[ ]	Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance		
4.	[ ]	Petition, Certification & Petition Fee Pay [before Issue Fee payment]	ment Pursuant to 37 CFR 1.97 (d)	RECEIVED
	Commissi nington, D	oner for Patents C. 20231		OCT 1 1 2002 GROUP 3600
Sir:				3000
The f	following a	re submitted in the above identified applica	ation in compliance with 37 CFR 1.97 &	<b>&amp;</b> 1.98:
5.	<b>⊀</b> _	A list of documents on form PTO-1449 t a translation or a concise explanation of the herewith.		
This	Paper is su	bmitted in accordance with:		18
6.		37 CFR 1.97(b): [within 3 months of filing	ng or prior to 1st Office Action]	8 2002
7.		37 CFR 1.97(c): [before Final Office Act	tion or Allowance, whichever is earlier	; and
	8.	The required certification made	in item 11 below; or	

	9.	The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10.	_	37 CFR 1.97(d): [before issue fee payment]; and
	_	This is a petition for consideration of the subject Information Disclosure Statement The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance "), and
	<u> </u>	b) The required Certification is stated in item 11 below.
11.	₹_	Certification
	12.	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
	13.	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14.	<u>√</u>	Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,

Patrick W. Rasche

Registration No.: 37,916

Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102

(314) 621-5070



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO	Complete if Known		
SUPPLEMENTAL INFORMATION	Application Number	09/771,358	
DISCLOSURE	Filing Date	January 27, 2001	
STATEMENT BY APPLICANT	First Named Inventor	Randall F. Hornick, et al.	
6	Group Art Unit	2171	
(use as many sheets as necessary)	Examiner Name		
Sheet 1 of 1	Attorney Docket Number	17243/00035	

	U.S. PATENT DOCUMENTS						
Examiner tnitials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	Of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
	AA	2001/0054004A1		Powers	12/20/2001		
	AB	2002/0055967A1		Coussement	05/09/2002	-	

				FO	REIGN PATENT DOCU	MENTS		
Examiner	Cite		Foreign Patent Docur	nent	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	or Relevant Figures Appear	™
	FA	JP	JP02001209 701A		Funaki, et al.	08/03/2001		

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-Issue number(s), publisher, city and/or country where published.	T²			
	BA	International Search Report; Applicant: GENERAL ELECTRIC CAPITAL CORPORATION; In re International Application No.: PCT/US02/01035; International Filing Date: January 10, 2002. (3 pgs.)				
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a checkmark here if English language Translation is attached.